



B. 26558w  
Atty. Dkt. No. 071671-0156

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tadashi EMORI, et al.

Title: SPEAKER'S VOICE  
RECOGNITION SYSTEM,  
METHOD AND RECORDING  
MEDIUM USING TWO  
DIMENSIONAL FREQUENCY  
EXPANSION COEFFICIENTS

Appl. No.: 09/695,067

Filing Date: 10/25/2000

Examiner: M. N. Opsasnick

Art Unit: 2655

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

3-8-05

By

David A. Blumenthal

FOLEY & LARDNER LLP  
Customer Number: 22428  
Telephone: (202) 672-5407  
Facsimile: (202) 672-5399

David A. Blumenthal  
Attorney for Applicant  
Registration No. 26,257

(use as many sheets as necessary)

<b>Application Number</b>	09/695,067
<b>Filing Date</b>	10/25/2000
<b>First Named Inventor</b>	Tadashi EMORI
<b>Group Art Unit</b>	2655
<b>Examiner Name</b>	M. N. Opsasnick
<b>Attorney Docket Number</b>	071671-0156

Sheet	1	of	1
-------	---	----	---

[illegible][illegible]

NON PATENT LITERATURE DOCUMENTS			T <sup>6</sup>
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	A3	UMESH S ET AL: "Frequency-warping and speaker-normalization" 1997 IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH, AND SIGNAL PROCESSING (CAT. NO. 97CB36052), MUNICH, GERMANY, 21-24 APRIL 1997, pages 983-986 vol. 2, XP002172330 1997, Los Alamitos, CA, USA, IEEE Comput. Soc. Press, USA ISBN: 0-8186-7919-0	

	Considered	Draw line through citation if not in conformance and not
Examiner Signature		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609          Considered. Include copy of this form with next communication to applicant.          Enter Office Action Number in the margin of the report of the Examiner must precede the serial number of the patent document.          See attached Kinds of U.S. Patent Documents. A "C" is to place a check mark here if</p>		

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 608.01(a).  
 Considered. Include copy of this form with next communication to applicant.  
 \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Applicant is to place a check mark here if applicable.  
 \*Indicate citation designation number. \*See attached Kinds of U.S. Patent Documents.  
 \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Applicant is to place a check mark here if applicable.  
 \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.  
 English language Translation is attached  
 Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or suggestions regarding the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tadashi EMORI, et al.  
Title: SPEAKER'S VOICE RECOGNITION  
SYSTEM, METHOD AND RECORDING  
MEDIUM USING TWO DIMENSIONAL  
FREQUENCY EXPANSION  
COEFFICIENTS  
Appl. No.: 09/695,067  
Filing Date: 10/25/2000  
Examiner: M. N. Opsasnick  
Art Unit: 2655

CERTIFICATE OF MAILING

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

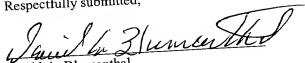
Commissioner:

I hereby certify that the following paper(s) and/or fee along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as First Class Mail under 37 C.F.R. § 1.8(a) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

1. Information Disclosure Statement
2. PTO SB08 form (3 references enclosed)
3. Postcard

March 8, 2005  
Date

Respectfully submitted,

  
David A. Blumenthal  
Reg. No. 26,257

Foley & Lardner LLP  
Customer Number: 22428  
Telephone: (202) 672-5407  
Facsimile: (202) 672-5399